

# Processes and Threads



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Based on original slides by Silberschatz, Galvin, and Gagne  
Operating System Concepts, IX edition

# Outline

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- Processes
- Threads
- Scheduling algorithms

# Process Concept

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- Program is ***passive*** entity stored on disk (**executable file**), process is ***active***
  - Program becomes originates when executable file loaded into memory and run
- Execution of program started via GUI mouse clicks, command line entry of its name, etc
- One program can be several processes
  - Consider multiple users executing the same program
- **Process** – a program in execution; process execution must progress in sequential fashion

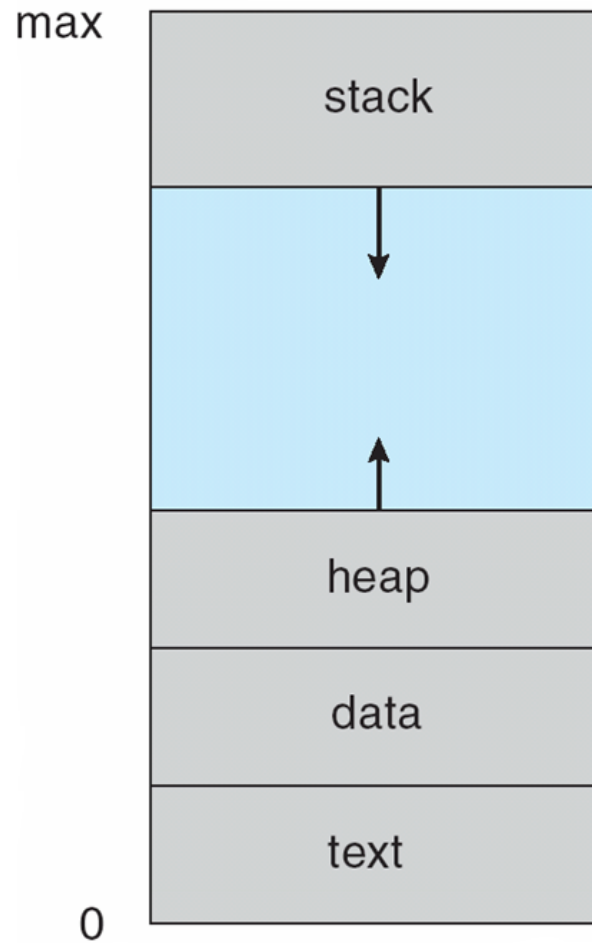
# Process Concept

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- Multiple parts
  - The program code, also called **text section**
  - Current activity including **program counter**, processor registers
  - **Stack** containing temporary data
    - ▶ Function parameters, return addresses, local variables
  - **Data section** containing global variables
  - **Heap** containing memory dynamically allocated during run time

# Process in Memory

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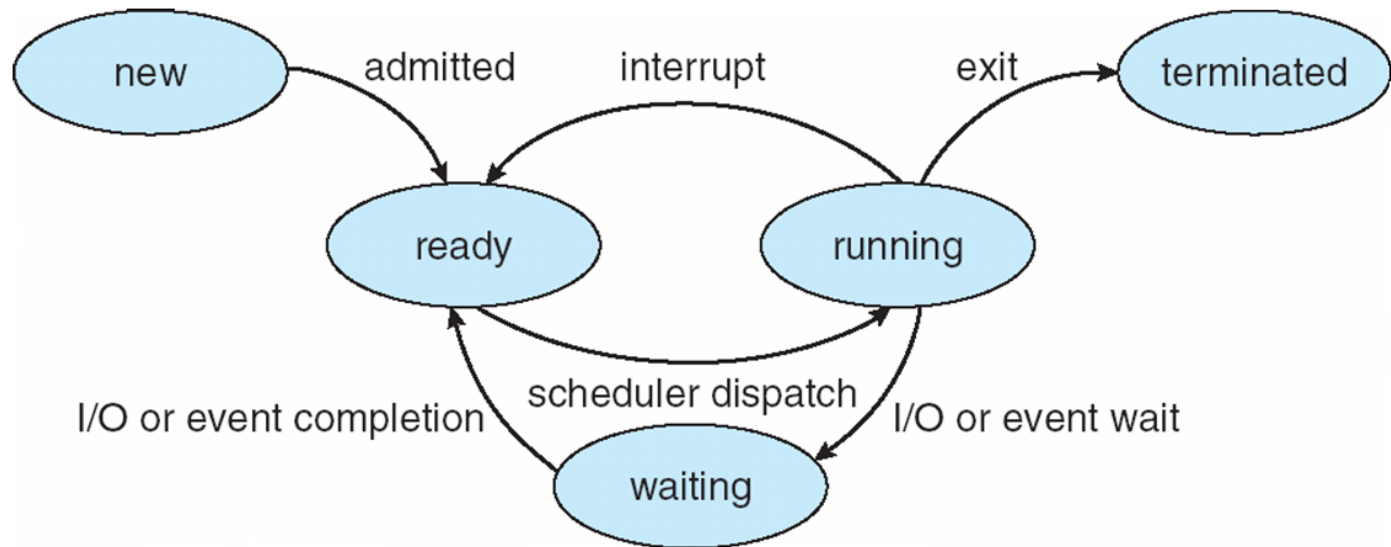
# Process State

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- As a process executes, it changes **state**
  - **new**: The process is being created
  - **running**: Instructions are being executed
  - **waiting**: The process is waiting for some event to occur
  - **ready**: The process is waiting to be assigned to a processor
  - **terminated**: The process has finished execution

# Diagram of Process State

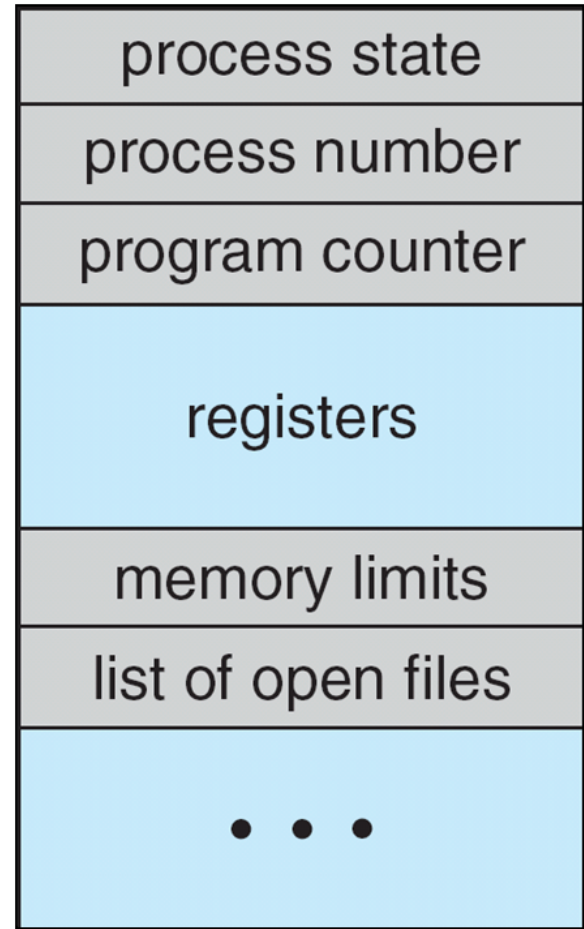
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# Process Control Block (PCB)

Information associated with each process  
(also called **task control block**)

- Process state – running, waiting, etc
- Program counter – location of instruction to next execute
- CPU registers – contents of all process-centric registers
- CPU scheduling information- priorities, scheduling queue pointers
- Memory-management information – memory allocated to the process
- Accounting information – CPU used, clock time elapsed since start, time limits
- I/O status information – I/O devices allocated to process, list of open files



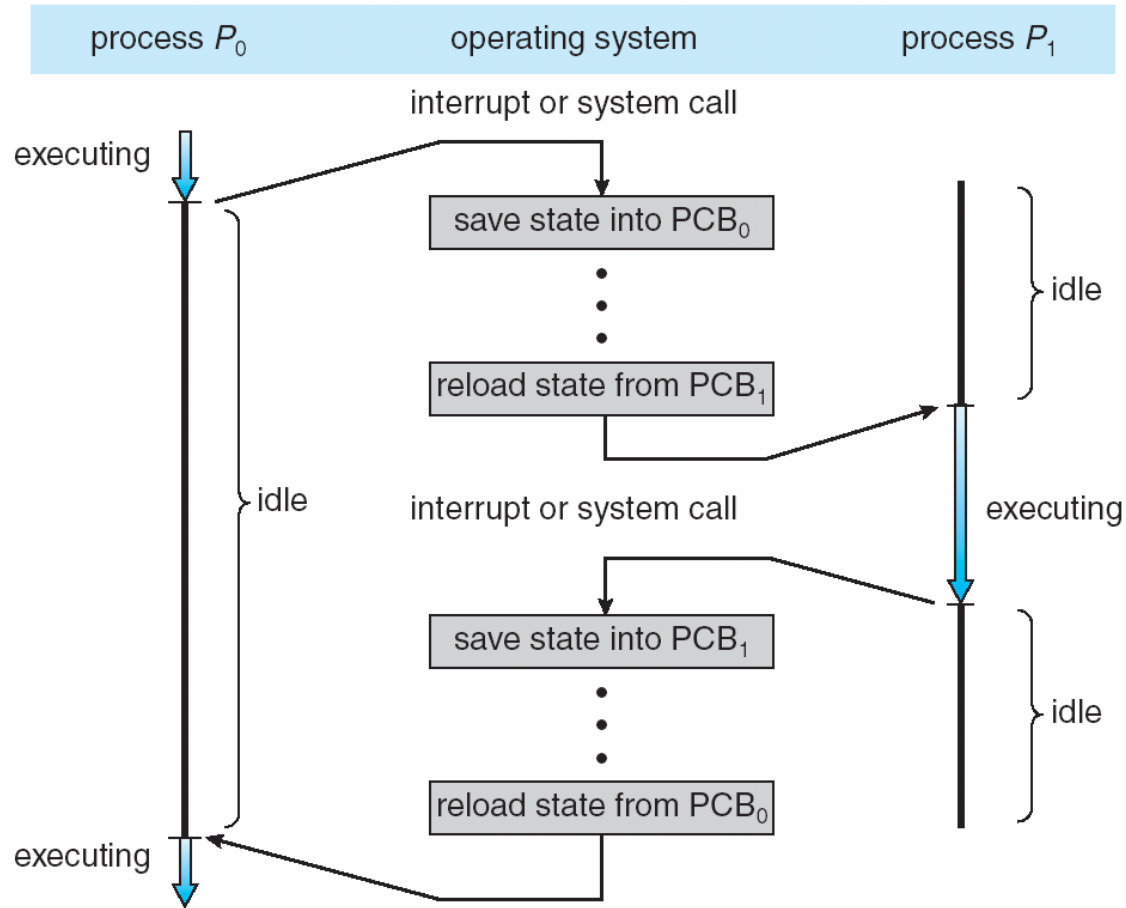


# Context Switch

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- When CPU switches to another process, the system must **save the state** of the old process and load the **saved state** for the new process via a **context switch**
- **Context** of a process represented in the PCB
- Context-switch time is overhead; the system does no useful work while switching
  - The more complex the OS and the PCB → the longer the context switch
- Time dependent on hardware support
  - Some hardware provides multiple sets of registers per CPU → multiple contexts loaded at once

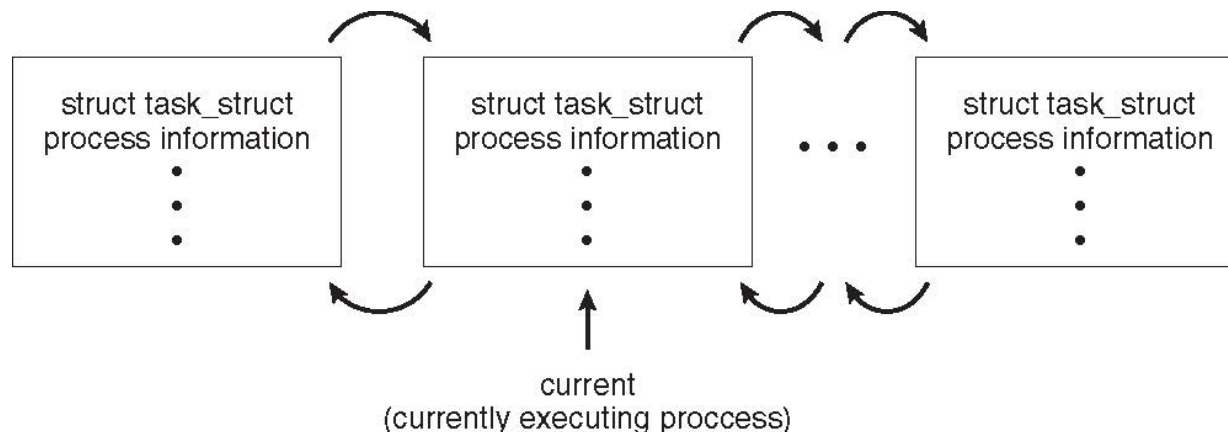
# CPU Switch From Process to Process



# Process Representation in Linux

Represented by the C structure `task_struct`

```
pid_t pid; /* process identifier */
long state; /* state of the process */
unsigned int time_slice /* scheduling information */
struct task_struct *parent; /* this process's parent */
struct list_head children; /* this process's children */
struct files_struct *files; /* list of open files */
struct mm_struct *mm; /* address space of this process */
```

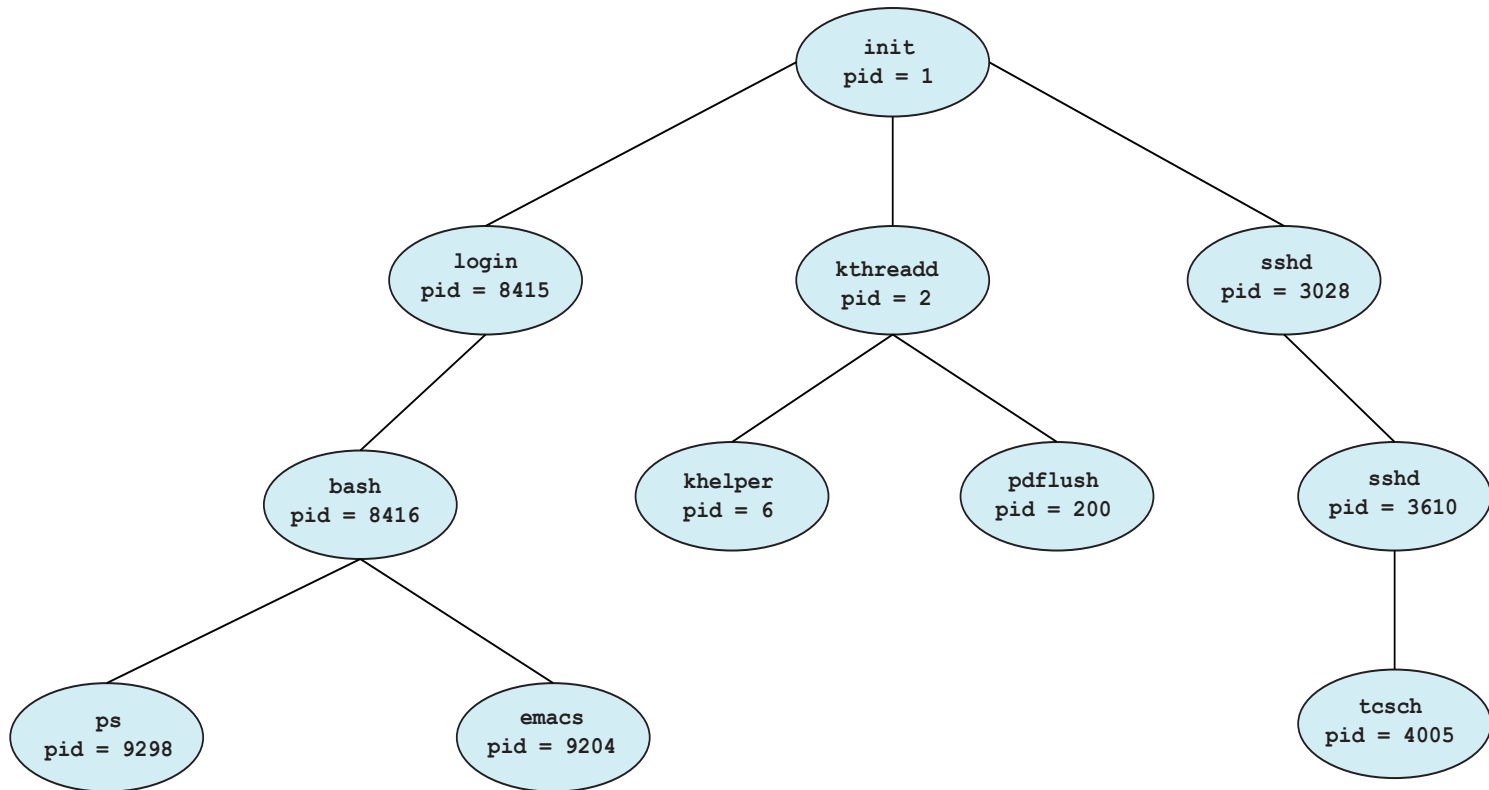


# Process Creation

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- **Parent** process create **children** processes, which, in turn create other processes, forming a **tree** of processes
- Generally, process identified and managed via a **process identifier (pid)**
- Resource sharing options
  - Parent and children share all resources
  - Children share subset of parent's resources
  - Parent and child share no resources
- Execution options
  - Parent and children execute concurrently
  - Parent waits until children terminate

# A Tree of Processes in Linux



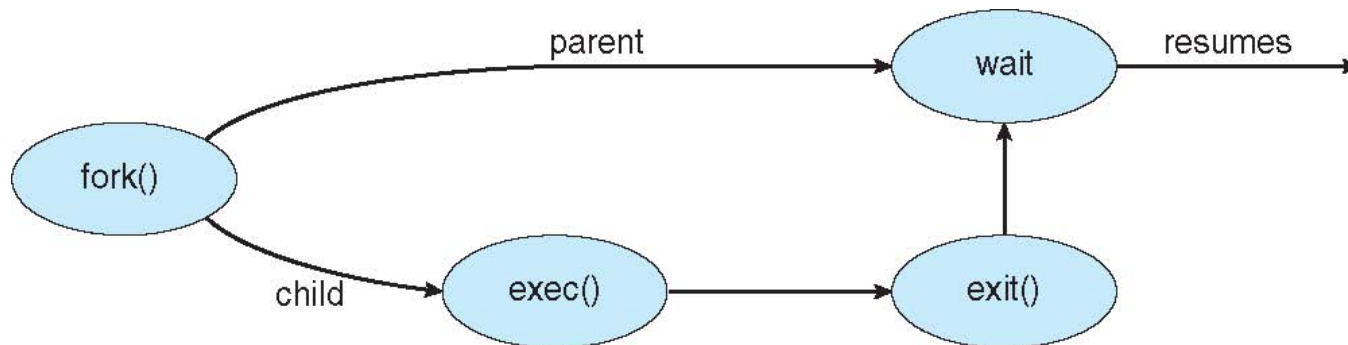
# Process Creation (Cont.)

## ■ Address space

- Child duplicate of parent
- Child has a program loaded into it

## ■ UNIX examples

- **fork()** system call creates new process
- **exec()** system call used after a **fork()** to replace the process' memory space with a new program



# Process Termination

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- Process executes last statement and then asks the operating system to delete it using the `exit()` system call.
  - Returns status data from child to parent (via `wait()`)
  - Process' resources are deallocated by operating system
- Parent may terminate the execution of children processes using the `abort()` system call. Some reasons for doing so:
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates

# Process Termination

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- Some operating systems do not allow child to exist if its parent has terminated. If a process terminates, then all its children must also be terminated.
  - **cascading termination.** All children, grandchildren, etc. are terminated.
  - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the `wait()` system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```
- If no parent waiting (did not invoke `wait()`) process is a **zombie**
- If parent terminated without invoking `wait`, process is an **orphan**



# Example in UNIX

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```
#include <iostream>
#include <unistd.h>
#include <stdlib.h>
#include <sys/types.h>
#include <sys/wait.h>
using namespace std;

int main(int argc, char* argv[]) {
    pid_t pid;
    pid=fork(); /* genera un nuovo processo */
    if(pid<0) { /* errore */
        cout << "Errore nella creazione del processo\n";
        exit(-1);
    } else if(pid==0) { /* processo figlio */
        execlp("/usr/bin/touch", "touch", "my_new_file", NULL);
    } else { /* processo genitore */
        int status;
        pid = wait(&status);
        cout << "Il processo figlio " << pid << " ha terminato\n";
        exit(0);
    }
}
```

# Multiprocess Architecture – Chrome Browser

- Many web browsers ran as single process (some still do)
  - If one web site causes trouble, entire browser can hang or crash
- Google Chrome Browser is multiprocess with 3 different types of processes:
  - **Browser** process manages user interface, disk and network I/O
  - **Renderer** process renders web pages, deals with HTML, Javascript. A new renderer created for each website opened
    - ▶ Runs in **sandbox** restricting disk and network I/O, minimizing effect of security exploits
  - **Plug-in** process for each type of plug-in



# Multitasking in Mobile Systems

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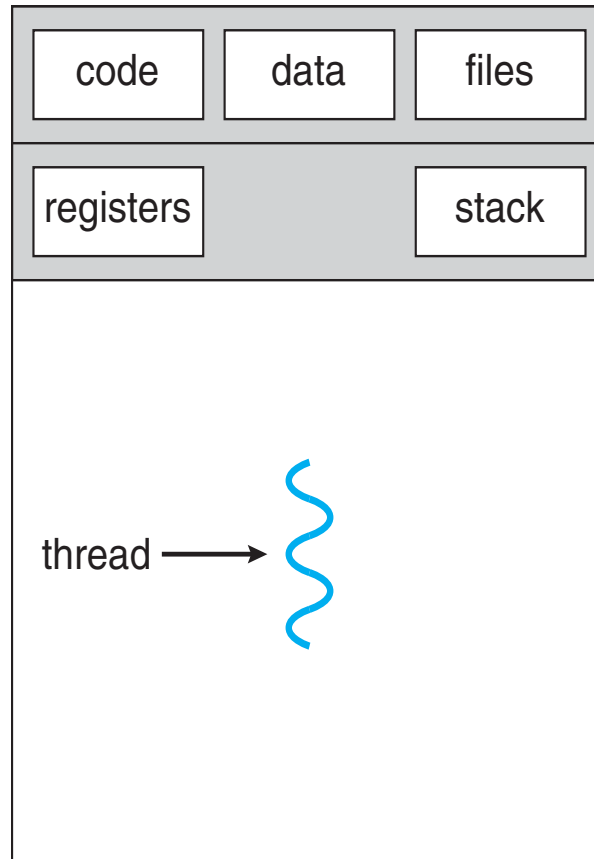
- Some mobile systems (e.g., early version of iOS) allow only one process to run, others suspended
- Due to screen real estate, user interface limits iOS provides for a
  - Single **foreground** process- controlled via user interface
  - Multiple **background** processes– in memory, running, but not on the display, and with limits
  - Limits include single, short task, receiving notification of events, specific long-running tasks like audio playback
- Android runs foreground and background, with fewer limits
  - Background process uses a **service** to perform tasks
  - Service can keep running even if background process is suspended
  - Service has no user interface, small memory use

# Threads

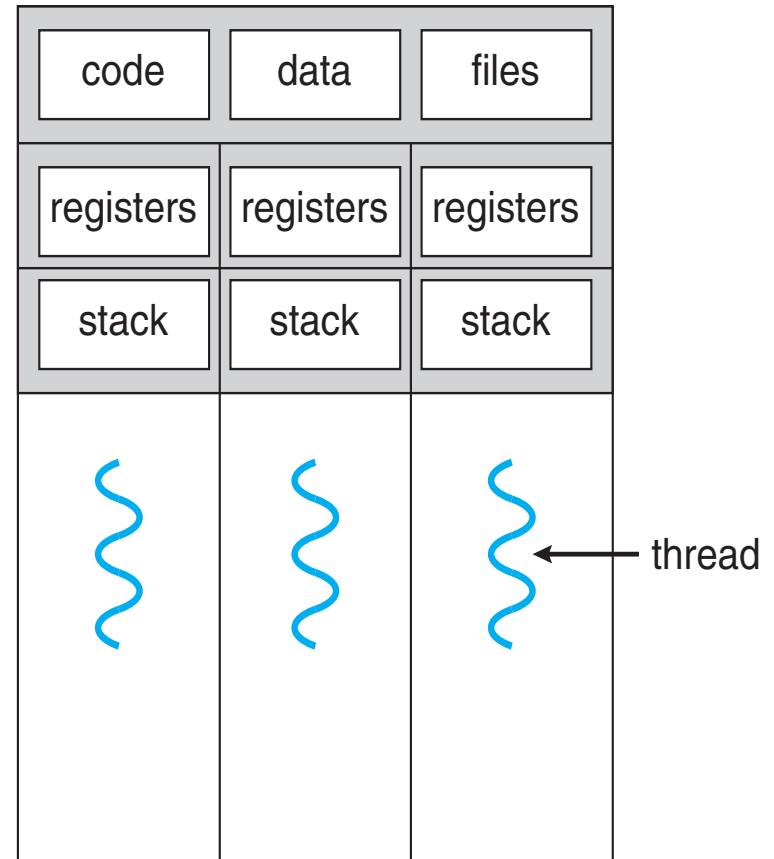
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- Most modern applications are multithreaded
- Threads run within application
- Multiple tasks with the application can be implemented by separate threads
  - Update display
  - Fetch data
  - Spell checking
  - Answer a network request
- Process creation is heavy-weight while thread creation is light-weight
- Can simplify code, increase efficiency
- Kernels are generally multithreaded

# Single and Multithreaded Processes



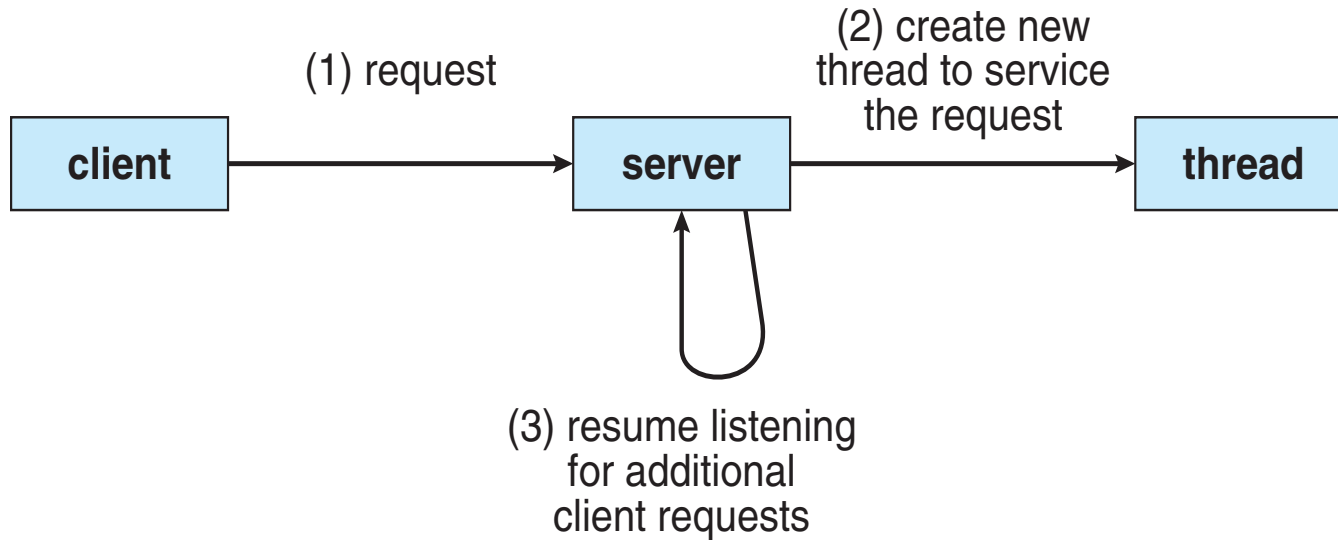
single-threaded process



multithreaded process

# Multithreaded Server Architecture

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# Benefits

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- **Responsiveness** – may allow continued execution if part of process is blocked, especially important for user interfaces
- **Resource Sharing** – threads share resources of process, easier than shared memory or message passing
- **Economy** – cheaper than process creation, thread switching lower overhead than context switching
- **Scalability** – process can take advantage of multiprocessor architectures

# Multicore Programming

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- **Multicore** or **multiprocessor** systems putting pressure on programmers, challenges include:
  - **Dividing activities**
  - **Balance**
  - **Data splitting**
  - **Data dependency**
  - **Testing and debugging**
- **Parallelism** implies a system can perform more than one task simultaneously
- **Concurrency** supports more than one task making progress
  - Single processor / core, scheduler providing concurrency



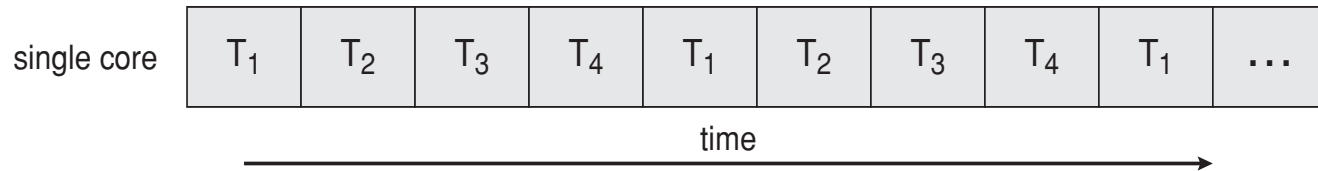
# Multicore Programming (Cont.)

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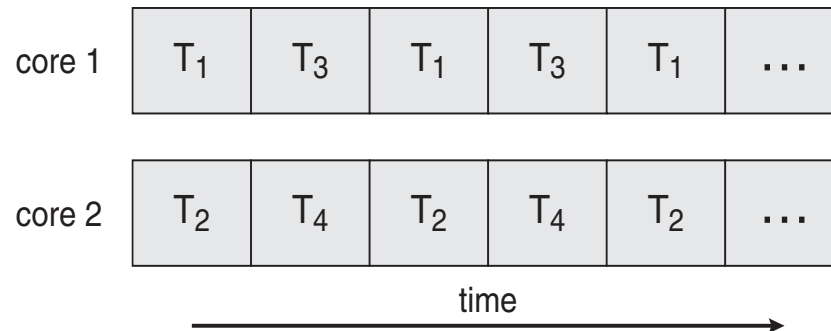
- Types of parallelism
  - **Data parallelism** – distributes subsets of the same data across multiple cores, same operation on each
  - **Task parallelism** – distributing threads across cores, each thread performing unique operation
- As # of threads grows, so does architectural support for threading
  - CPUs have cores as well as ***hardware threads***
  - Consider Oracle SPARC T4 with 8 cores, and 8 hardware threads per core

# Concurrency vs. Parallelism

## ■ Concurrent execution on single-core system:



## ■ Parallelism on a multi-core system:

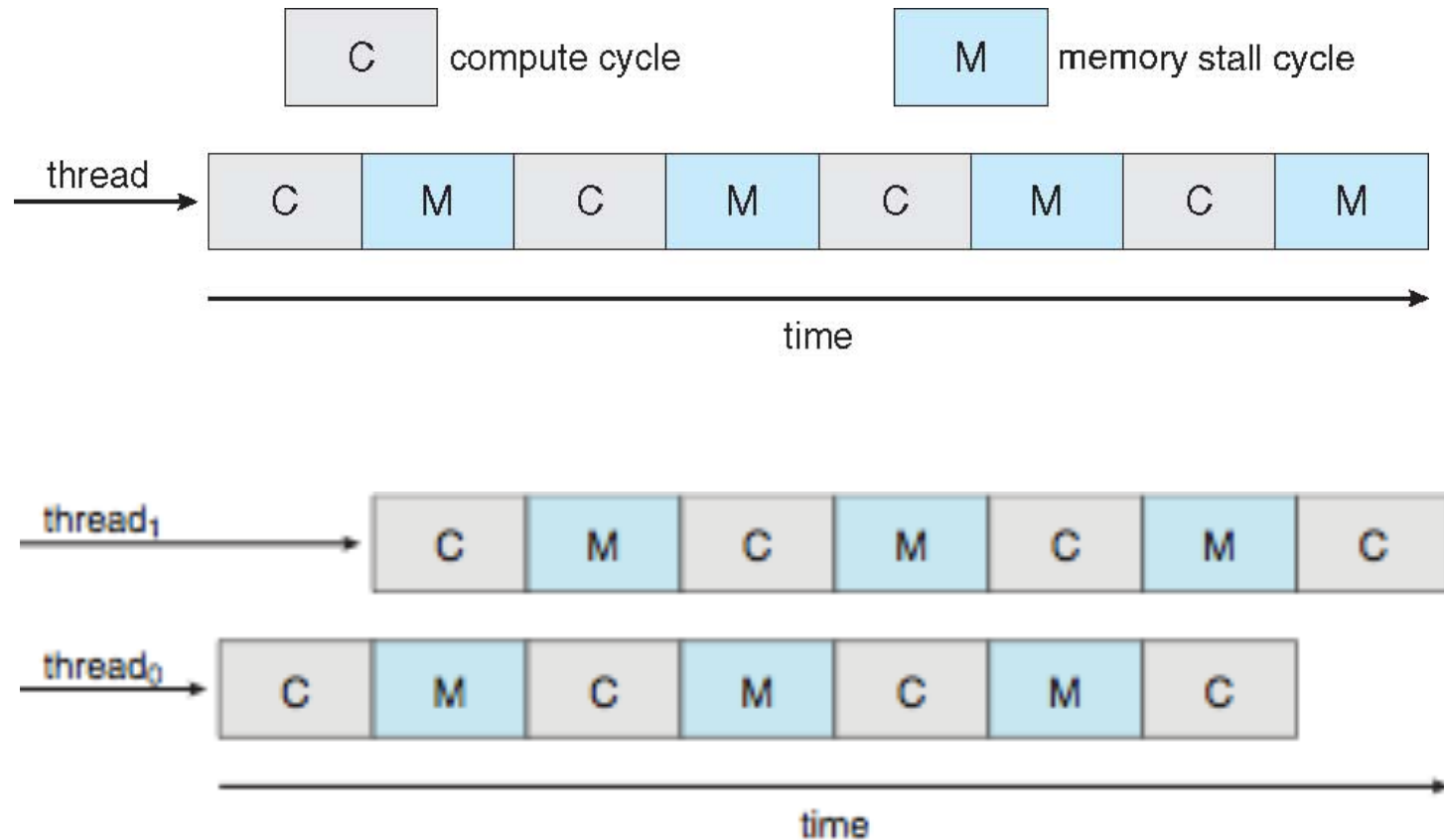


# Multicore Processors

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- Recent trend to place multiple processor cores on same physical chip
- Faster and consumes less power
- Multiple threads per core also growing
  - Takes advantage of memory stall to make progress on another thread while memory retrieve happens

# Multithreaded Multicore System



# Amdahl's Law

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- Identifies performance gains from adding additional cores to an application that has both serial and parallel components
- $S$  is serial portion
- $N$  processing cores

$$speedup \leq \frac{1}{S + \frac{(1-S)}{N}}$$

- That is, if application is 75% parallel / 25% serial, moving from 1 to 2 cores results in speedup of 1.6 times
- As  $N$  approaches infinity, speedup approaches  $1 / S$
- Serial portion of an application has important effect on performance gained by adding additional cores

# User Threads and Kernel Threads

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- **User threads** - management done by user-level threads library
- Three primary thread libraries:
  - POSIX **Pthreads**
  - Windows threads
  - Java threads
- **Kernel threads** - Supported by the Kernel
- Examples – virtually all general purpose operating systems, including:
  - Windows
  - Solaris
  - Linux
  - Tru64 UNIX
  - Mac OS X

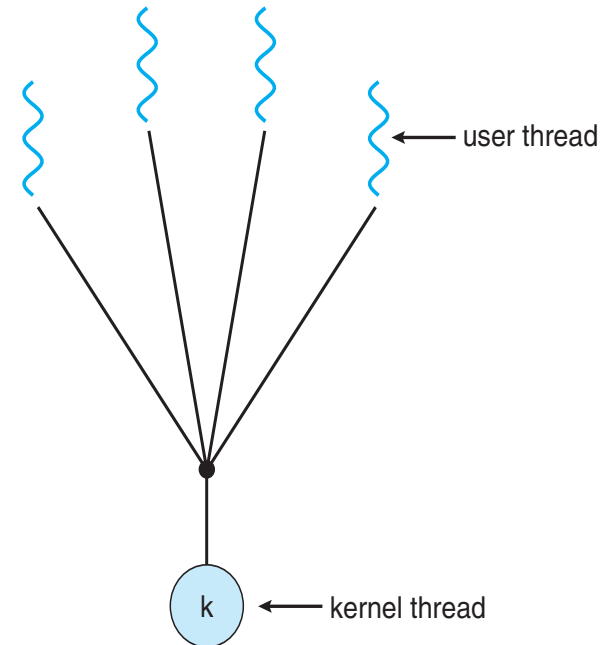
# Multithreading Models

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- Many-to-One
- One-to-One
- Many-to-Many

# Many-to-One

- Many user-level threads mapped to single kernel thread
- One thread blocking causes all to block
- Multiple threads may not run in parallel on multicore system because only one may be in kernel at a time
- Few systems currently use this model
- Examples:
  - **Solaris Green Threads**
  - **GNU Portable Threads**



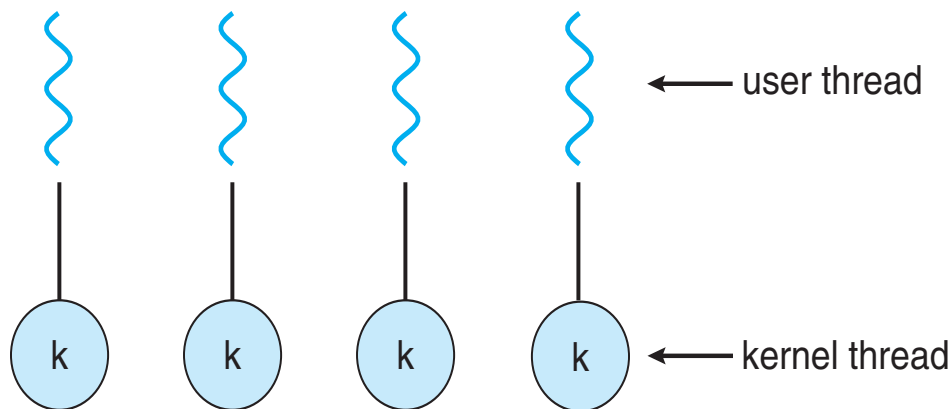


# One-to-One

- Each user-level thread maps to kernel thread
- Creating a user-level thread creates a kernel thread
- More concurrency than many-to-one
- Number of threads per process sometimes restricted due to overhead

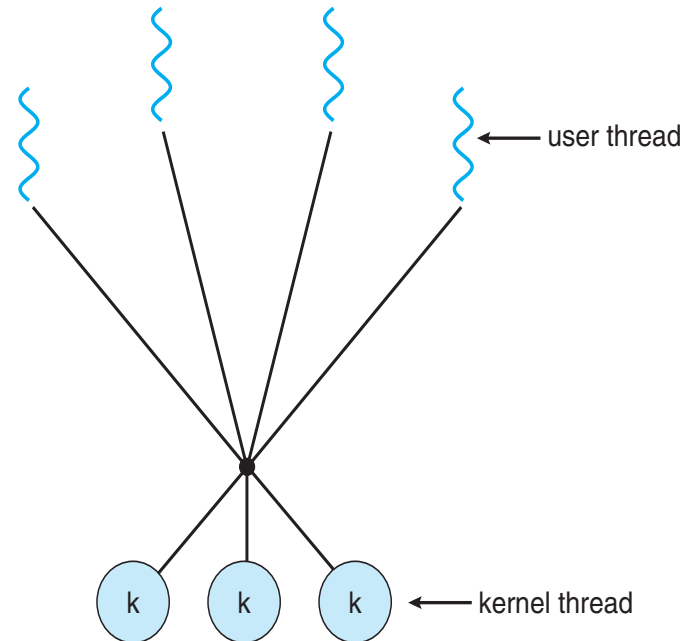
- Examples

- Windows
- Linux
- Solaris 9 and later



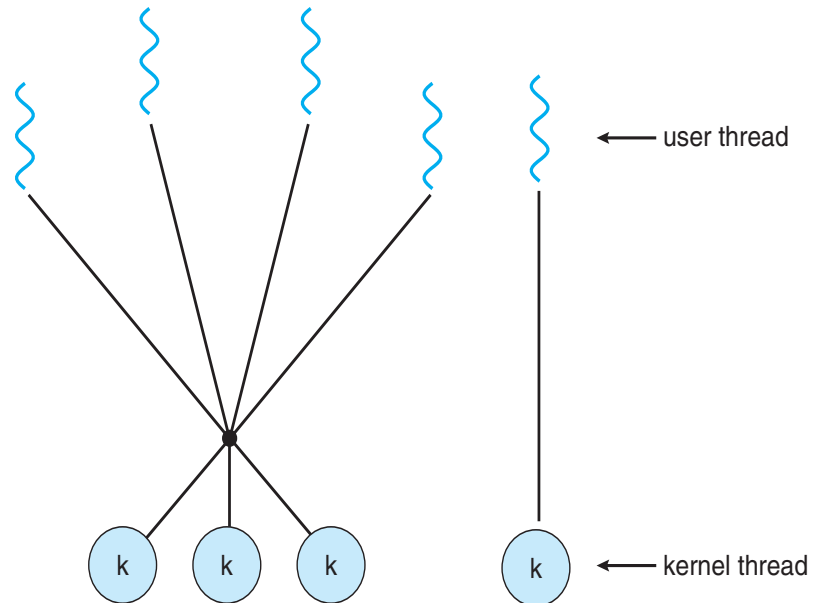
# Many-to-Many Model

- Allows many user level threads to be mapped to many kernel threads
- Allows the operating system to create a sufficient number of kernel threads
- Solaris prior to version 9
- Windows with the *ThreadFiber* package



# Two-level Model

- Similar to M:M, except that it allows a user thread to be **bound** to kernel thread
- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier



# Thread Libraries

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- **Thread library** provides programmer with API for creating and managing threads
- Two primary ways of implementing
  - Library entirely in user space
  - Kernel-level library supported by the OS

# Pthreads

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- May be provided either as user-level or kernel-level
- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
- ***Specification***, not ***implementation***
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX operating systems (Solaris, Linux, Mac OS X)

# Threading Issues

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- Semantics of **fork()** and **exec()** system calls
- Signal handling
  - Synchronous and asynchronous
- Thread cancellation of target thread
  - Asynchronous or deferred

# Semantics of `fork()` and `exec()`

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- Does `fork()` duplicate only the calling thread or all threads?
  - Some UNIXes have two versions of `fork`
- `exec()` usually works as normal – replace the running process including all threads

# Signal Handling

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- **Signals** are used in UNIX systems to notify a process that a particular event has occurred.
- A **signal handler** is used to process signals
  1. Signal is generated by particular event
  2. Signal is delivered to a process
  3. Signal is handled by one of two signal handlers:
    1. default
    2. user-defined
- Every signal has **default handler** that kernel runs when handling signal
  - **User-defined signal handler** can override default
  - For single-threaded, signal delivered to process



# Signal Handling (Cont.)

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- Where should a signal be delivered for multi-threaded?
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals for the process

# Thread Cancellation

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- Terminating a thread before it has finished
- Thread to be canceled is **target thread**
- Two general approaches:
  - **Asynchronous cancellation** terminates the target thread immediately
  - **Deferred cancellation** allows the target thread to periodically check if it should be cancelled
- Pthread code to create and cancel a thread:

```
pthread_t tid;  
  
/* create the thread */  
pthread_create(&tid, 0, worker, NULL);  
  
. . .  
  
/* cancel the thread */  
pthread_cancel(tid);
```

# Thread Cancellation (Cont.)

- Invoking thread cancellation requests cancellation, but actual cancellation depends on thread state

| Mode         | State    | Type         |
|--------------|----------|--------------|
| Off          | Disabled | –            |
| Deferred     | Enabled  | Deferred     |
| Asynchronous | Enabled  | Asynchronous |

- If thread has cancellation disabled, cancellation remains pending until thread enables it
- Default type is deferred
  - Cancellation only occurs when thread reaches **cancellation point**
    - ▶ I.e. `pthread_testcancel()`
    - ▶ Then **cleanup handler** is invoked
- On Linux systems, thread cancellation is handled through signals

# Operating System Examples

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- Windows Threads
- Linux Threads

# Windows Threads

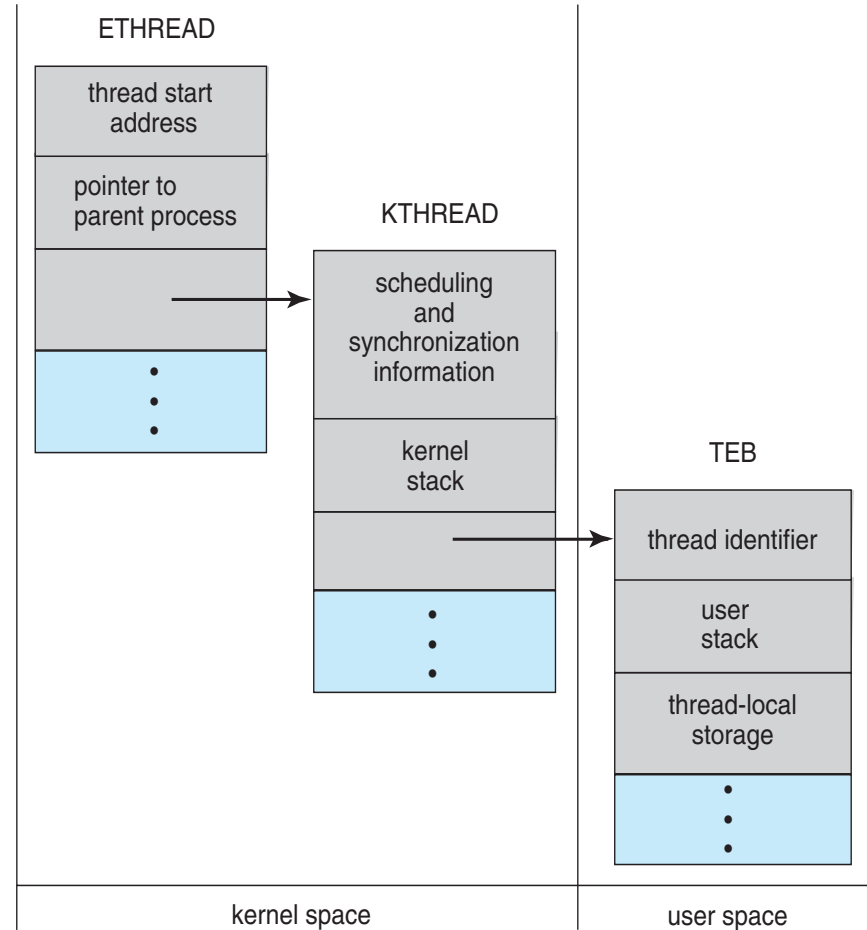
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- Windows implements the Windows API – primary API for Win 98, Win NT, Win 2000, Win XP, and Win 7
- Implements the one-to-one mapping, kernel-level
- Each thread contains
  - A thread id
  - Register set representing state of processor
  - Separate user and kernel stacks for when thread runs in user mode or kernel mode
  - Private data storage area used by run-time libraries and dynamic link libraries (DLLs)
- The register set, stacks, and private storage area are known as the **context** of the thread

# Windows Threads Data Structures

The primary data structures of a thread include:

- ETHREAD (executive thread block) – includes pointer to process to which thread belongs and to KTHREAD, in kernel space
- KTHREAD (kernel thread block) – scheduling and synchronization info, kernel-mode stack, pointer to TEB, in kernel space
- TEB (thread environment block) – thread id, user-mode stack, thread-local storage, in user space



# Linux Threads

- Linux refers to them as **tasks** rather than **threads**
- Thread creation is done through `clone()` system call
- `clone()` allows a child task to share the address space of the parent task (process)
  - Flags control behavior

| flag          | meaning                            |
|---------------|------------------------------------|
| CLONE_FS      | File-system information is shared. |
| CLONE_VM      | The same memory space is shared.   |
| CLONE_SIGHAND | Signal handlers are shared.        |
| CLONE_FILES   | The set of open files is shared.   |

- `struct task_struct` points to process data structures (shared or unique)

# Process Scheduling

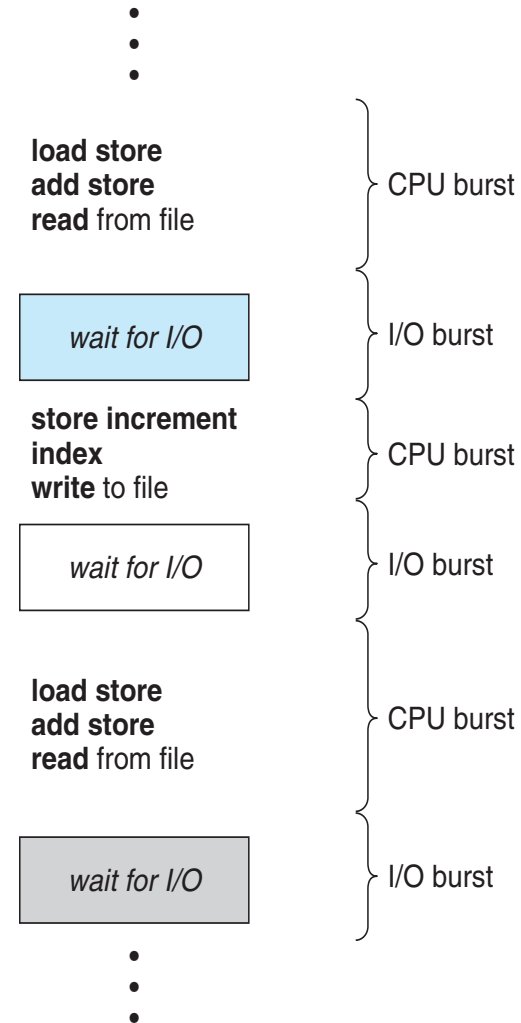
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- Maximize CPU use, quickly switch processes onto CPU for time sharing
- **Process scheduler** selects among available processes for next execution on CPU
- Maintains **scheduling queues** of processes
  - **Job queue** – set of all processes in the system
  - **Ready queue** – set of all processes residing in main memory, ready and waiting to execute
  - **Device queues** – set of processes waiting for an I/O device
  - Processes migrate among the various queues

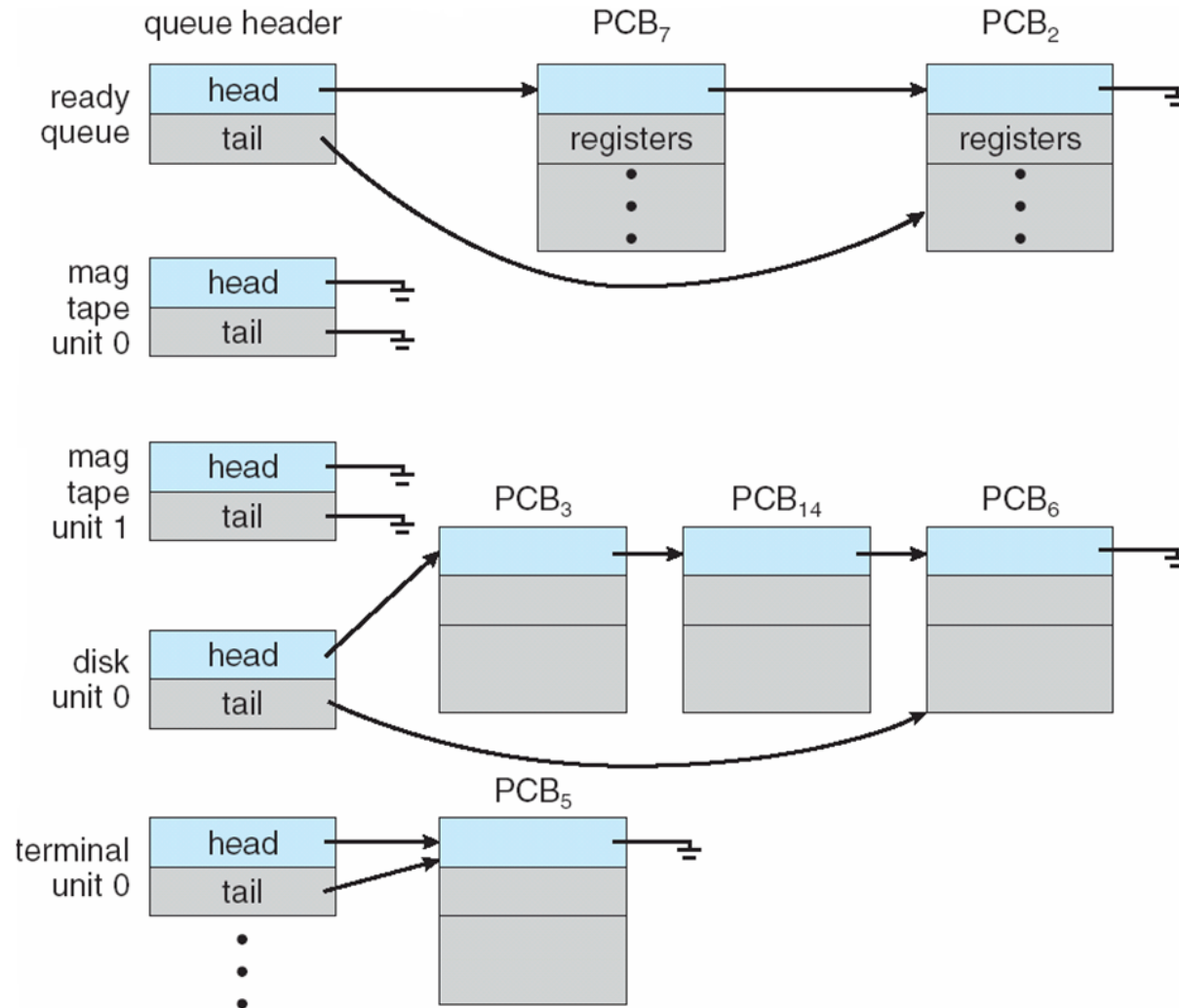


# Basic Concepts

- Maximum CPU utilization obtained with multiprogramming
- CPU–I/O Burst Cycle – Process execution consists of a **cycle** of CPU execution and I/O wait
- **CPU burst** followed by **I/O burst**
- CPU burst distribution is of main concern

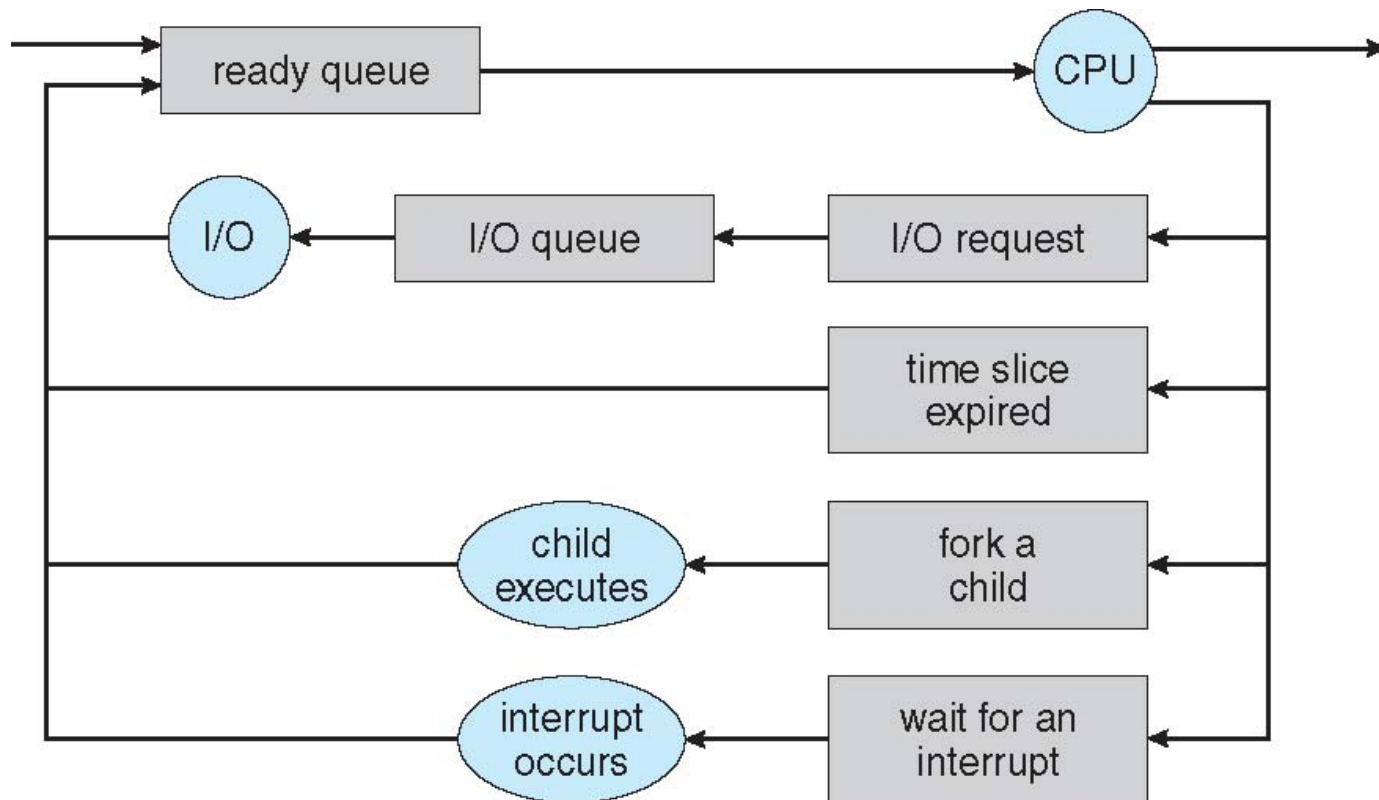


# Ready Queue And Various I/O Device Queues



# Representation of Process Scheduling

- **Queueing diagram** represents queues, resources, flows



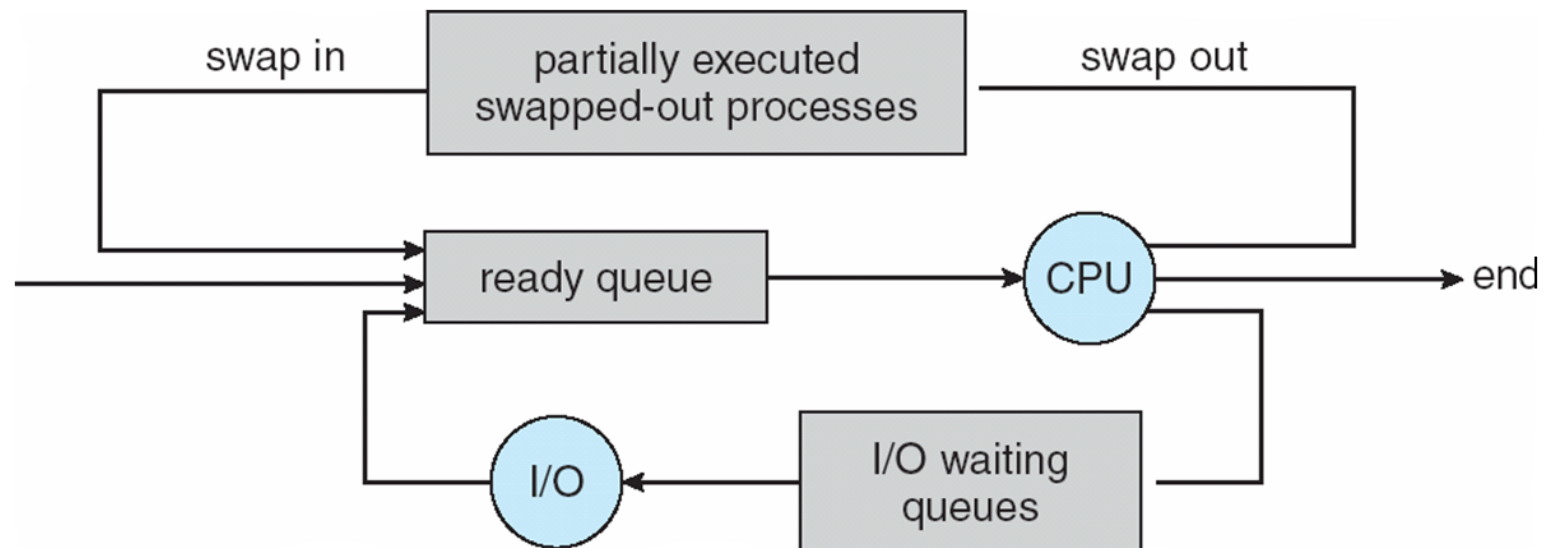
# Schedulers

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- **Short-term scheduler** (or **CPU scheduler**) – selects which process should be executed next and allocates CPU
  - Sometimes the only scheduler in a system
  - Short-term scheduler is invoked frequently (milliseconds)  $\Rightarrow$  (must be fast)
- **Long-term scheduler** (or **job scheduler**) – selects which processes should be brought into the ready queue
  - Long-term scheduler is invoked infrequently (seconds, minutes)  $\Rightarrow$  (may be slow)
  - The long-term scheduler controls the **degree of multiprogramming**
- Processes can be described as either:
  - **I/O-bound process** – spends more time doing I/O than computations, many short CPU bursts
  - **CPU-bound process** – spends more time doing computations; few very long CPU bursts
- Long-term scheduler strives for good ***process mix***

# Addition of Medium Term Scheduling

- **Medium-term scheduler** can be added if degree of multiple programming needs to decrease
  - Remove process from memory, store on disk, bring back in from disk to continue execution: **swapping**



# CPU Scheduler

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- **Short-term scheduler** selects from among the processes in ready queue, and allocates the CPU to one of them
  - Queue may be ordered in various ways
- CPU scheduling decisions may take place when a process:
  1. Switches from running to waiting state
  2. Switches from running to ready state
  3. Switches from waiting to ready
  4. Terminates
- Scheduling under 1 and 4 is **nonpreemptive**
- All other scheduling is **preemptive**
  - Consider access to shared data
  - Consider preemption while in kernel mode
  - Consider interrupts occurring during crucial OS activities

# Scheduling Criteria

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- **CPU utilization** – keep the CPU as busy as possible
- **Throughput** – # of processes that complete their execution per time unit
- **Turnaround time** – amount of time to execute a particular process
- **Waiting time** – amount of time a process has been waiting in the ready queue
- **Response time** – amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)

# First- Come, First-Served (FCFS) Scheduling

| <u>Process</u> | <u>Burst Time</u> |
|----------------|-------------------|
| $P_1$          | 24                |
| $P_2$          | 3                 |
| $P_3$          | 3                 |

- Suppose that the processes arrive in the order:  $P_1, P_2, P_3$   
The schedule is:



- Waiting time for  $P_1 = 0$ ;  $P_2 = 24$ ;  $P_3 = 27$
- Average waiting time:  $(0 + 24 + 27)/3 = 17$



# FCFS Scheduling (Cont.)

Suppose that the processes arrive in the order:

$$P_2, P_3, P_1$$

■ The schedule is:



- Waiting time for  $P_1 = 6$ ;  $P_2 = 0$ ;  $P_3 = 3$
- Average waiting time:  $(6 + 0 + 3)/3 = 3$
- Much better than previous case
- **Convoy effect** - short process behind long process
  - Consider one CPU-bound and many I/O-bound processes

# Shortest-Job-First (SJF) Scheduling

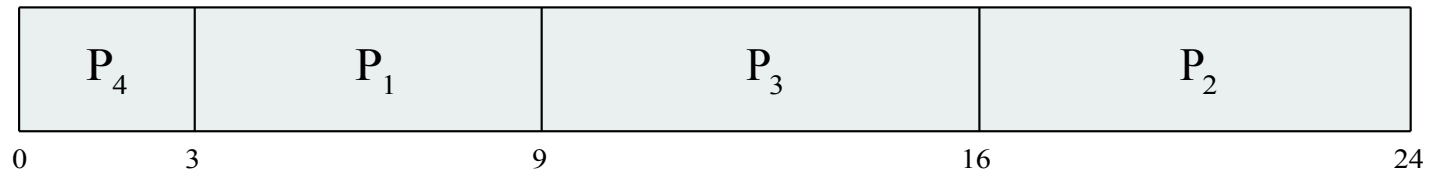
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- Associate with each process the length of its next CPU burst
  - Use these lengths to schedule the process with the shortest time
- SJF is optimal – gives minimum average waiting time for a given set of processes
  - The difficulty is knowing the length of the next CPU request
  - Could ask the user

# Example of SJF

| <u>Process</u> | <u>Burst Time</u> |
|----------------|-------------------|
| $P_1$          | 6                 |
| $P_2$          | 8                 |
| $P_3$          | 7                 |
| $P_4$          | 3                 |

## ■ SJF scheduling chart



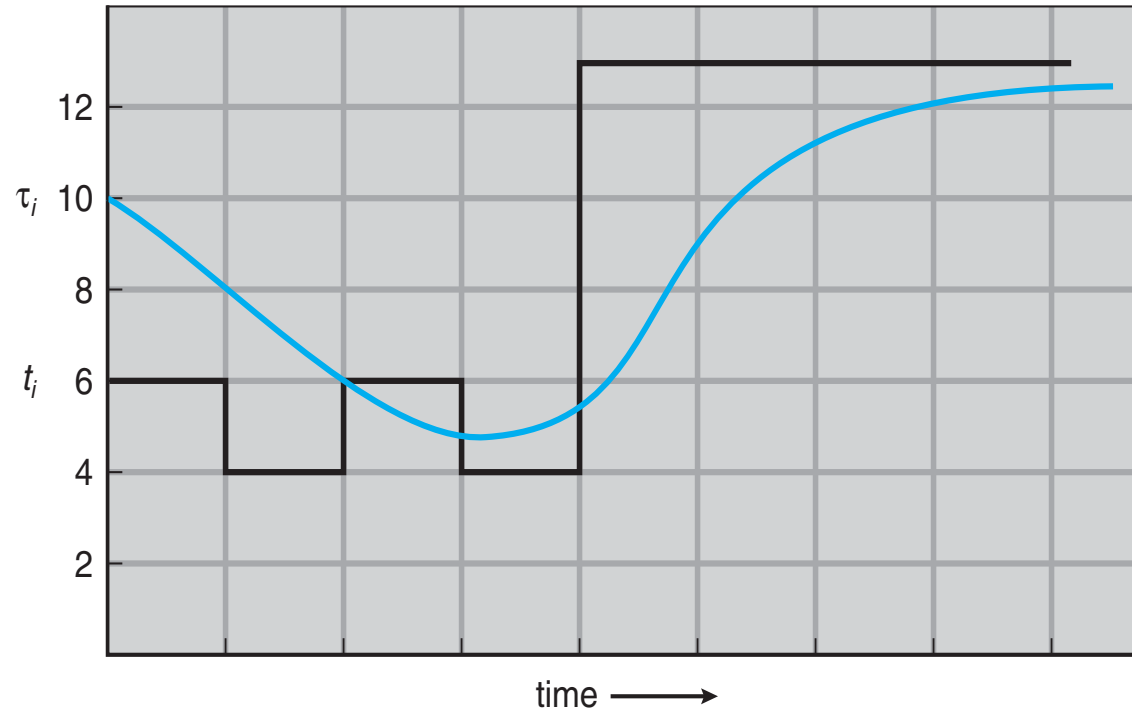
## ■ Average waiting time = $(3 + 16 + 9 + 0) / 4 = 7$

# Determining Length of Next CPU Burst

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- Can only estimate the length – should be similar to the previous one
  - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging
  1.  $t_n$  = actual length of  $n^{th}$  CPU burst
  2.  $\tau_{n+1}$  = predicted value for the next CPU burst
  3.  $\alpha, 0 \leq \alpha \leq 1$
  4. Define:  $\tau_{n+1} = \alpha t_n + (1 - \alpha)\tau_n$ .
- Commonly,  $\alpha$  set to  $\frac{1}{2}$
- Preemptive version called **shortest-remaining-time-first**

# Prediction of the Length of the Next CPU Burst



|                      |    |   |   |   |    |    |    |     |
|----------------------|----|---|---|---|----|----|----|-----|
| CPU burst ( $t_i$ )  | 6  | 4 | 6 | 4 | 13 | 13 | 13 | ... |
| "guess" ( $\tau_i$ ) | 10 | 8 | 6 | 6 | 9  | 11 | 12 | ... |

# Examples of Exponential Averaging

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## ■ $\alpha = 0$

- $\tau_{n+1} = \tau_n$
- Recent history does not count

## ■ $\alpha = 1$

- $\tau_{n+1} = \alpha t_n$
- Only the actual last CPU burst counts

## ■ If we expand the formula, we get:

$$\tau_{n+1} = \alpha t_n + (1 - \alpha)\alpha t_{n-1} + \dots \\ + (1 - \alpha)^j \alpha t_{n-j} + \dots$$

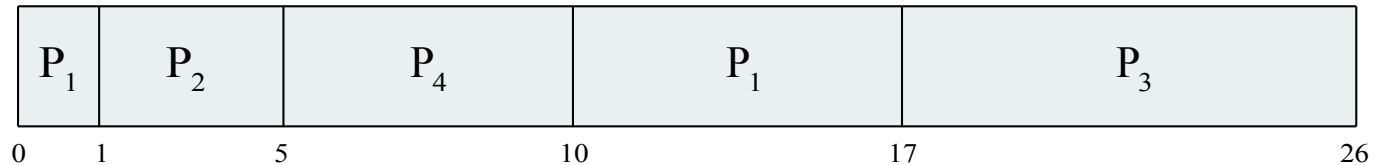
- ## ■ Since both $\alpha$ and $(1 - \alpha)$ are less than or equal to 1, each successive term has less weight than its predecessor

# Example of Shortest-remaining-time-first

- Now we add the concepts of varying arrival times and preemption to the analysis

| <u>Process</u> | <u>Arrival Time</u> | <u>Burst Time</u> |
|----------------|---------------------|-------------------|
| $P_1$          | 0                   | 8                 |
| $P_2$          | 1                   | 4                 |
| $P_3$          | 2                   | 9                 |
| $P_4$          | 3                   | 5                 |

- Preemptive* SJF



- Average waiting time =  $[(10-1)+(1-1)+(17-2)+5-3]/4 = 26/4 = 6.5$  msec

# Priority Scheduling

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- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer  $\equiv$  highest priority)
  - Preemptive
  - Nonpreemptive
- SJF is priority scheduling where priority is the inverse of predicted next CPU burst time
- Problem  $\equiv$  **Starvation** – low priority processes may never execute
- Solution  $\equiv$  **Aging** – as time progresses increase the priority of the process



# Example of Priority Scheduling

| <u>Process</u> | <u>Burst Time</u> | <u>Priority</u> |
|----------------|-------------------|-----------------|
| $P_1$          | 10                | 3               |
| $P_2$          | 1                 | 1               |
| $P_3$          | 2                 | 4               |
| $P_4$          | 1                 | 5               |
| $P_5$          | 5                 | 2               |

■ Priority scheduling:



■ Average waiting time = 8.2 msec

# Round Robin (RR)

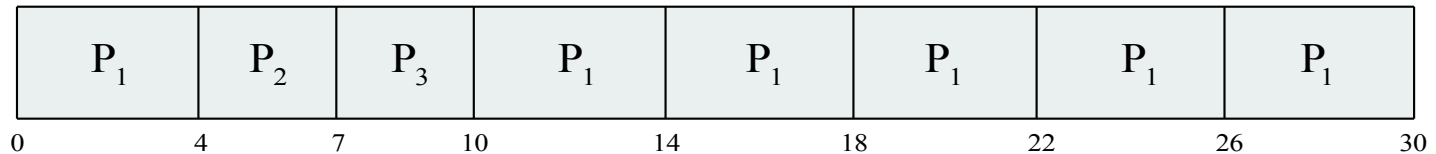
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- Each process gets a small unit of CPU time (**time quantum**  $q$ ), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are  $n$  processes in the ready queue and the time quantum is  $q$ , then each process gets  $1/n$  of the CPU time in chunks of at most  $q$  time units at once. No process waits more than  $(n-1)q$  time units.
- Timer interrupts every quantum to schedule next process
- Performance
  - $q$  large  $\Rightarrow$  FIFO
  - $q$  small  $\Rightarrow q$  must be large with respect to context switch, otherwise overhead is too high

# Example of RR with Time Quantum = 4

| <u>Process</u> | <u>Burst Time</u> |
|----------------|-------------------|
| $P_1$          | 24                |
| $P_2$          | 3                 |
| $P_3$          | 3                 |

- The execution is:



- Typically, higher average turnaround than SJF, but better **response**
- $q$  should be large compared to context switch time
- $q$  usually 10ms to 100ms, context switch < 10 usec

# Multilevel Queue

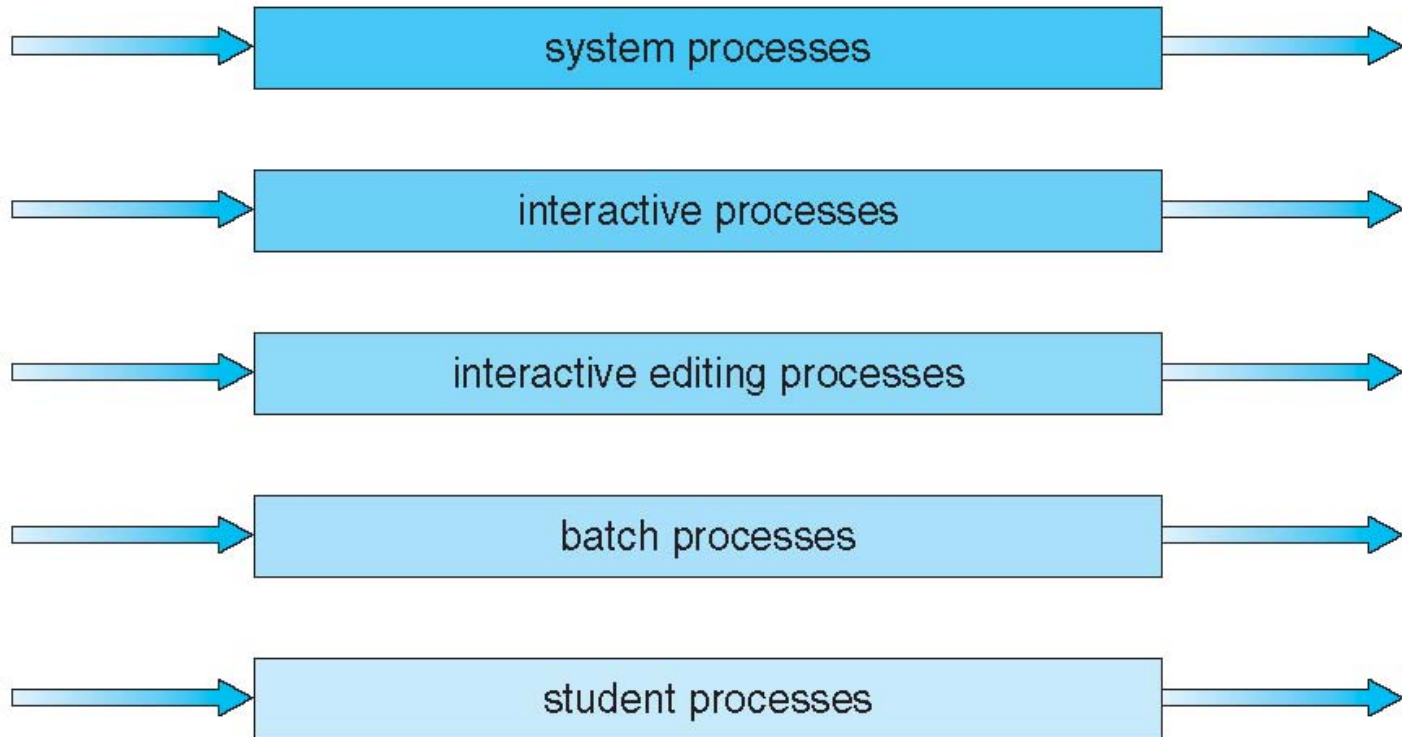
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- Ready queue is partitioned into separate queues, eg:
  - **foreground** (interactive)
  - **background** (batch)
- Process permanently in a given queue
- Each queue has its own scheduling algorithm:
  - foreground – RR
  - background – FCFS
- Scheduling must be done between the queues:
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice – each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR, 20% to background in FCFS

# Multilevel Queue Scheduling

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highest priority



lowest priority

# Multilevel Feedback Queue

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- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service

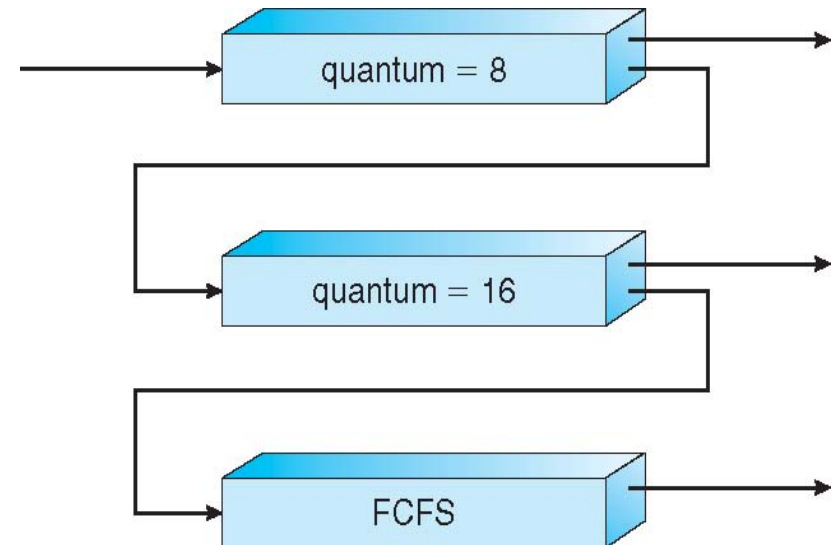
# Example of Multilevel Feedback Queue

## ■ Three queues:

- $Q_0$  – RR with time quantum 8 milliseconds
- $Q_1$  – RR time quantum 16 milliseconds
- $Q_2$  – FCFS

## ■ Scheduling

- A new job enters queue  $Q_0$  which is served FCFS
  - ▶ When it gains CPU, job receives 8 milliseconds
  - ▶ If it does not finish in 8 milliseconds, job is moved to queue  $Q_1$
- At  $Q_1$  job is again served FCFS and receives 16 additional milliseconds
  - ▶ If it still does not complete, it is preempted and moved to queue  $Q_2$



# Operating System Examples

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- Windows XP scheduling
- Linux scheduling



# Windows XP Scheduling

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- Thread scheduling based on
  - Priority
  - Preemption
  - Time slice
- A thread is executed until one of the following event occurs
  - The thread has terminated its execution
  - The thread has exhausted its assigned time slice
  - The has executed a blocking system call
  - A higher-priority thread has entered the ready queue

# Kernel Priorities

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- Kernel priority scheme: 32 priority levels
  - Real-time class (16-31)
  - Variable class (1-15)
  - Memory management thread (0)
  
- A different queue for each priority level
  - Queues are scanned from higher levels to lower levels
  - When no thread is found a special thread (idle thread) is executed

# Win32 API priorities

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## ■ API Priority classes

- REALTIME\_PRIORITY\_CLASS -> Real-time Class
- HIGH\_PRIORITY\_CLASS -> Variable Class
- ABOVE\_NORMAL\_PRIORITY\_CLASS -> Variable Class
- NORMAL\_PRIORITY\_CLASS -> Variable Class
- BELOW\_NORMAL\_PRIORITY\_CLASS -> Variable Class
- IDLE\_PRIORITY\_CLASS -> Variable Class

## ■ Relative Priority

- TIME\_CRITICAL
- HIGHEST
- ABOVE\_NORMAL
- NORMAL
- BELOW\_NORMAL
- LOWEST
- IDLE

# Windows XP Priorities

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|               | real-time | high | above normal | normal | below normal | idle priority |
|---------------|-----------|------|--------------|--------|--------------|---------------|
| time-critical | 31        | 15   | 15           | 15     | 15           | 15            |
| highest       | 26        | 15   | 12           | 10     | 8            | 6             |
| above normal  | 25        | 14   | 11           | 9      | 7            | 5             |
| normal        | 24        | 13   | 10           | 8      | 6            | 4             |
| below normal  | 23        | 12   | 9            | 7      | 5            | 3             |
| lowest        | 22        | 11   | 8            | 6      | 4            | 2             |
| idle          | 16        | 1    | 1            | 1      | 1            | 1             |



**Default Base Priority**

# Class Priority Management

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- A thread is stopped as soon as its time slice is exhausted
- Variable Class
  - If a thread stops because time slice is exhausted, its priority level is decreased
  - If a thread exits a waiting operation, its priority level is increased
    - ▶ waiting for data from keyboard, mouse -> significant increase
    - ▶ waiting for disk operations -> moderate increase
- Background/Foreground processes
  - The time slice of the foreground window is increased (typically by a factor 3)

# Linux Scheduling Through Version 2.5

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- Prior to kernel version 2.5, ran variation of standard UNIX scheduling algorithm
- Version 2.5 moved to constant order  $O(1)$  scheduling time
  - Preemptive, priority based
  - Two priority ranges: time-sharing and real-time
  - **Real-time** range from 0 to 99 and **nice** value from 100 to 140
  - Map into global priority with numerically lower values indicating higher priority
  - Higher priority gets larger  $q$
  - Task run-able as long as time left in time slice (**active**)
  - If no time left (**expired**), not run-able until all other tasks use their slices
  - All run-able tasks tracked in per-CPU **runqueue** data structure
    - ▶ Two priority arrays (active, expired)
    - ▶ Tasks indexed by priority
    - ▶ When no more active, arrays are exchanged
  - Worked well, but poor response times for interactive processes

# Priorities and Time-slice length

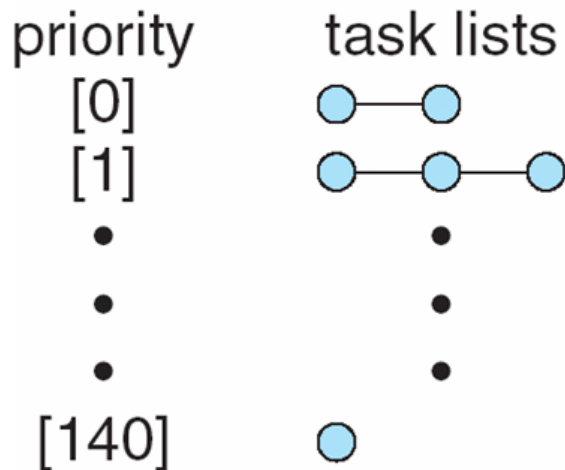
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| <u>numeric<br/>priority</u> | <u>relative<br/>priority</u> |                    | <u>time<br/>quantum</u> |
|-----------------------------|------------------------------|--------------------|-------------------------|
| 0                           | highest                      | real-time<br>tasks | 200 ms                  |
| •                           |                              |                    |                         |
| •                           |                              |                    |                         |
| •                           |                              |                    |                         |
| 99                          |                              |                    |                         |
| 100                         |                              | other<br>tasks     | 10 ms                   |
| •                           |                              |                    |                         |
| •                           |                              |                    |                         |
| •                           |                              |                    |                         |
| 140                         | lowest                       |                    |                         |

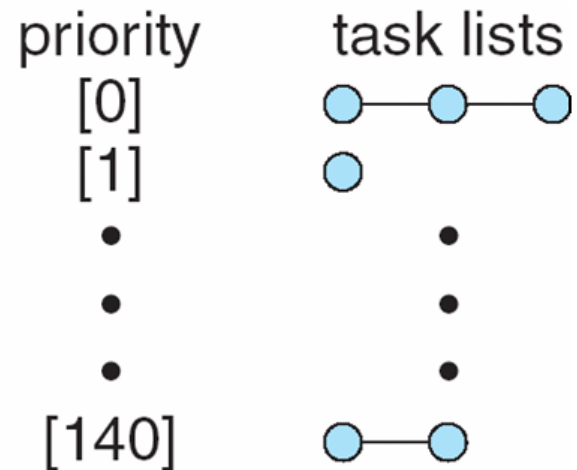
# RunQueue

- The runqueue consists of two different arrays
  - Active array
  - Expired array

## active array



## expired array





# Priority Calculation

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- Real time tasks have static priority
- Time-sharing tasks have dynamic priority
  - Based on nice value +/- 5
  - +/- 5 depends on how much the task is interactive
    - ▶ Tasks with low waiting times are assumed to be scarcely interactive
    - ▶ Tasks with large waiting times are assumed to be highly interactive
- Priority re-computation is carried out every time a task has exhausted its time slice

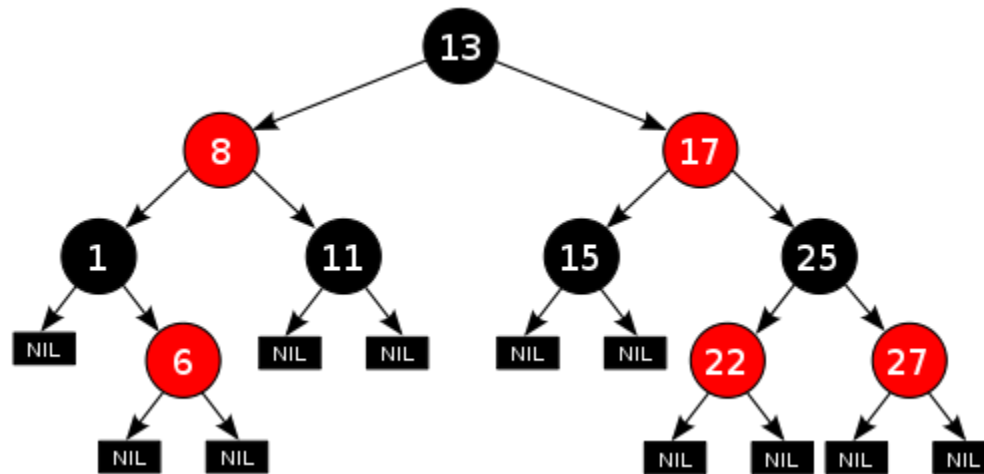
# Linux 2.6+ Scheduling

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- Recent versions of Linux include a new scheduler: Completely Fair Scheduler (CFS)
  - Idea: when the time for tasks is not balanced (one or more tasks are not given a fair amount of time relative to others), then these tasks should be given time to execute.
- CFS registers the amount of time provided to a given task (the virtual runtime)
- The smaller a task's virtual runtime—meaning the smaller amount of time a task has been granted the CPU—the higher its need for the processor.

# Linux 2.6+ Scheduling

- Tasks are stored in a red-black tree (not a queue) ordered in terms of virtual time
  - A red-black tree is roughly balanced: any path in the tree will never be more than twice as long as any other path.
  - Insert and deletion are  $O(\log n)$



# Linux 2.6+ Scheduling

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- The scheduler picks the left-most node of the red-black tree. The task accounts for its time with the CPU by adding its execution time to the virtual runtime and is then inserted back into the tree if runnable.
- CFS doesn't use priorities directly but instead uses them as a decay factor for the time a task is permitted to execute.
  - Lower-priority tasks have higher factors of decay, where higher-priority tasks have lower factors of delay.
  - This means that the time a task is permitted to execute dissipates more quickly for a lower-priority task than for a higher-priority task.
  - This avoids maintaining run queues per priority.